

## **Information Concerning Costs, Fees and Expenses**

of the German Patent and Trade Mark Office and of the Federal Patent Court
(latest update: 1 July 2022)

Information and forms on the Internet at: https://www.dpma.de/english/services/forms/index.html

Mailing addressTelefaxTelephoneDienststelle München80297 München+49 89 2195-2221Customer Care and Services:Dienststelle Jena07738 Jena+49 3641 40-5690+49 89 2195-1000Informations- und Dienstleistungszentrum Berlin10958 Berlin+49 30 25992-404

Internet:

**Beneficiary:** Bundeskasse/DPMA

IBAN: DE84 7000 0000 0070 0010 54, BIC (SWIFT-Code): MARKDEF1700

Address of the bank: Bundesbankfiliale München, Leopoldstr. 234, 80807 München https://www.dpma.de

A 9510.1/7.22 (2)

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#### 1 - General remarks

- (1) Unless otherwise provided for by law or by virtue of legal authorisation the amount of the costs results in particular from
  - the Act Concerning the Costs of the German Patent and Trade Mark Office and of the Federal Patent Court (Patent Costs Act Patentkostengesetz) form A 9514.1 of 13 December 2001 (Federal Law Gazette\* I, p. 3656), as last amended by Article 2 of the Act of 30 August 2021 (Federal Law Gazette I, p. 4074) extracts from the act, see no. 2,
  - the Ordinance Concerning the Administrative Costs at the German Patent and Trade Mark Office (DPMA-Verwal-tungskostenverordnung) form A 9516 (in German) of 14 July 2006 (Federal Law Gazette I, p. 1586), as last amended by Article 4 of the Ordinance of 7 February 2022 (Federal Law Gazette I, p. 171) extracts of the text, see no. 3,
  - the Court Costs Act (*Gerichtskostengesetz*) in its version published on 27 February 2014 (Federal Law Gazette I, p. 154), as last amended by Article 16 of the Act of 25 June 2021 (Federal Law Gazette I, p. 2099),
  - the Ordinance on the Issuing of the Certificate under Article 3 of the Hague Convention of 5 October 1961 Abolishing the Requirement of Legalisation for Foreign Public Documents of 9 December 1997 (*Verordnung über die Ausstellung der Apostille nach Artikel 3 des Haager Übereinkommens zur Befreiung ausländischer öffentlicher Urkunden von der Legalisation*) (Federal Law Gazette I, p. 2872), as last amended by Articles 3 and 4 of the Act of 31 January 2019 (Federal Law Gazette I, p. 54) extracts from the text, see no. 4.
- (2) Provisions concerning modes of payment equivalent to payment in cash are indicated in the Ordinance on Payment of Costs of the German Patent and Trade Mark Office and of the Federal Patent Court (Patent Costs Payment Ordinance (Patentkostenzahlungsverordnung) form A 9511.1 of 15 October 2003 (Federal Law Gazette I, p. 2083), as last amended by Article 3 of the Ordinance of 7 February 2022 (Federal Law Gazette I, p. 171).

**Note:** If **transfer** is made with bank or savings bank payment orders the day on which the amount is credited to the account of the branch of the federal cash office (*Bundeskasse*) in charge of the German Patent and Trade Mark Office (DPMA) is deemed to be the day of payment.

In case of **cash deposit** into the account of the branch of the federal cash office in charge of the German Patent and Trade Mark Office you are strongly recommended to send a copy of the paying-in slip to the German Patent and Trade Mark Office in order to furnish proof of timely payment, since bank processing times may vary considerably.

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<sup>\*</sup> Bundesgesetzblatt (BGBI.)

# 2 – Extract from the Act Concerning the Costs of the German Patent and Trade Mark Office and of the Federal Patent Court

### Annex concerning section 2 (1) of the Patent Costs Act (Schedule of Fees)

| No. | Statement of fees | € |
|-----|-------------------|---|
|-----|-------------------|---|

#### A. Fees of the German Patent and Trade Mark Office

- (1) If the fee specified for electronic filing is lower than the fee for filing on paper, the lower fee shall be charged only where electronic filing is admissible under the respective ordinance of the German Patent and Trade Mark Office.
- (2) As regards fee nos. 313 600, 323 100, 331 600, 331 610, 333 000, 333 300, 333 350, 333 400, 333 450, 346 100 and 362 100, each person filing a request will be charged separately.

As regards fee nos. 331 600, 331 610, 333 000, 333 300, 333 350 and 346 100, joint proprietors or joint applicants of an IP right are also deemed to be one person if they file a joint request based on that IP right.

#### I. Patents

312 040

312 041

#### 1. Grant procedure

Application procedure for national applications (section 34 of the Patent Act [Patentgesetz]) - for electronic filing 311 000 - containing up to ten patent claims ..... 40 311 050 - containing more than ten patent claims: fee 311 000 plus ..... 20 for each additional claim 311 100 - for filing on paper: 150 % of fee amounts 311 000 and 311 050 International application (Article III section 4 (2) sentence 1 of the Act on International Patent Conventions [Gesetz über internationale Patentübereinkommen]) 311 150 - containing up to ten patent claims..... 60 311 160 - containing more than ten patent claims: fee 311 150 plus ...... 30 for each additional claim 311 200 300 Search (section 43 of the Patent Act) ..... Examination procedure (section 44 of the Patent Act) 311 300 - when a request under section 43 has already been filed ...... 150 311 400 - when a request under section 43 has not been filed ...... 350 311 500 Application procedure relating to a supplementary protection certificate (section 49a of 300 the Patent Act )..... Extension of duration of a supplementary protection certificate (section 49a (3) of the Patent Act) 311 600 - if the request is filed together with the request for grant of a supplementary protection 100 certificate ...... 311 610 200 - if the request is filed after the request for grant of a supplementary protection certificate..... 2. Maintenance of a patent or an application Annual fees pursuant to section 17 (1) of the Patent Act 312 030 for the 3rd year of the patent ..... 70 312 031 - in case of declaration of willingness to license (section 23 (1) of the Patent Act)..... 35 - surcharge for late payment (section 7 (1) sentence 2)...... 312 032 50

for the 4th year of the patent......

- in case of declaration of willingness to license (section 23 (1) of the Patent Act).....

70

35

|         |   | €     |
|---------|---|-------|
| 312 042 | - surcharge for late payment (section 7 (1) sentence 2)                               | 50    |
| 312 050 | for the 5th year of the patent  | 100   |
| 312 051 | - in case of declaration of willingness to license (section 23 (1) of the Patent Act) | 50    |
| 312 052 | - surcharge for late payment (section 7 (1) sentence 2)                               | 50    |
| 312 060 | for the 6th year of the patent  | 150   |
| 312 061 | - in case of declaration of willingness to license (section 23 (1) of the Patent Act) | 75    |
| 312 062 | - surcharge for late payment (section 7 (1) sentence 2)                               | 50    |
| 312 070 | for the 7th year of the patent  | 210   |
| 312 071 | - in case of declaration of willingness to license (section 23 (1) of the Patent Act) | 105   |
| 312 072 | - surcharge for late payment (section 7 (1) sentence 2)                               | 50    |
| 312 080 | for the 8th year of the patent  | 280   |
| 312 081 | - in case of declaration of willingness to license (section 23 (1) of the Patent Act) | 140   |
| 312 082 | - surcharge for late payment (section 7 (1) sentence 2)                               | 50    |
| 312 090 | for the 9th year of the patent  | 350   |
| 312 091 | - in case of declaration of willingness to license (section 23 (1) of the Patent Act) | 175   |
| 312 092 | - surcharge for late payment (section 7 (1) sentence 2)                               | 50    |
| 312 100 | for the 10th year of the patent   | 430   |
| 312 101 | - in case of declaration of willingness to license (section 23 (1) of the Patent Act) | 215   |
| 312 102 | - surcharge for late payment (section 7 (1) sentence 2)                               | 50    |
| 312 110 | for the 11th year of the patent   | 540   |
| 312 111 | - in case of declaration of willingness to license (section 23 (1) of the Patent Act) | 270   |
| 312 112 | - surcharge for late payment (section 7 (1) sentence 2)                               | 50    |
| 312 120 | for the 12th year of the patent   | 680   |
| 312 121 | - in case of declaration of willingness to license (section 23 (1) of the Patent Act) | 340   |
| 312 122 | - surcharge for late payment (section 7 (1) sentence 2)                               | 50    |
| 312 130 | for the 13th year of the patent   | 830   |
| 312 131 | - in case of declaration of willingness to license (section 23 (1) of the Patent Act) | 415   |
| 312 132 | - surcharge for late payment (section 7 (1) sentence 2)                               | 50    |
| 312 140 | for the 14th year of the patent   | 980   |
| 312 141 | - in case of declaration of willingness to license (section 23 (1) of the Patent Act) | 490   |
| 312 142 | - surcharge for late payment (section 7 (1) sentence 2)                               | 50    |
| 312 150 | for the 15th year of the patent   | 1 130 |
| 312 151 | - in case of declaration of willingness to license (section 23 (1) of the Patent Act) | 565   |
| 312 152 | - surcharge for late payment (section 7 (1) sentence 2)                               | 50    |
| 312 160 | for the 16th year of the patent   | 1 310 |
| 312 161 | - in case of declaration of willingness to license (section 23 (1) of the Patent Act) | 655   |
| 312 162 | - surcharge for late payment (section 7 (1) sentence 2)                               | 50    |
| 312 170 | for the 17th year of the patent   | 1 490 |
| 312 171 | - in case of declaration of willingness to license (section 23 (1) of the Patent Act) | 745   |
| 312 172 | - surcharge for late payment (section 7 (1) sentence 2)                               | 50    |

| No.     | Statement of fees   | €     |
|---------|---|-------|
| 312 180 | for the 18th year of the patent   | 1 670 |
| 312 181 | - in case of declaration of willingness to license (section 23 (1) of the Patent Act) | 835   |
| 312 182 | - surcharge for late payment (section 7 (1) sentence 2)                               | 50    |
| 312 190 | for the 19th year of the patent   | 1 840 |
| 312 191 | - in case of declaration of willingness to license (section 23 (1) of the Patent Act) | 920   |
| 312 192 | - surcharge for late payment (section 7 (1) sentence 2)                               | 50    |
| 312 200 | for the 20th year of the patent   | 2 030 |
| 312 201 | - in case of declaration of willingness to license (section 23 (1) of the Patent Act) | 1 015 |
| 312 202 | - surcharge for late payment (section 7 (1) sentence 2)                               | 50    |
|         | Payment of the 3rd to 5th annual fee on the due date for the 3rd annual fee:          |       |
| 312 205 | Reduced fees for nos. 312 030 to 312 050  | 210   |
| 312 206 | - in case of declaration of willingness to license (section 23 (1) of the Patent Act) | 105   |
| 312 207 | - surcharge for late payment (section 7 (1) sentence 2)                               | 50    |
|         | Annual fees pursuant to section 16a of the Patent Act                                 |       |
| 312 210 | for the 1st year of supplementary protection  | 2 920 |
| 312 211 | - in case of declaration of willingness to license (section 23 (1) of the Patent Act) | 1 460 |
| 312 212 | - surcharge for late payment (section 7 (1) sentence 2)                               |       |
| 312 220 | for the 2nd year of supplementary protection  | 3 240 |
| 312 221 | - in case of declaration of willingness to license (section 23 (1) of the Patent Act) | 1 620 |
| 312 222 | - surcharge for late payment (section 7 (1) sentence 2)                               | 50    |
| 312 230 | for the 3rd year of supplementary protection  | 3 620 |
| 312 231 | - in case of declaration of willingness to license (section 23 (1) of the Patent Act) | 1 810 |
| 312 232 | - surcharge for late payment (section 7 (1) sentence 2)                               |       |
| 312 240 | for the 4th year of supplementary protection  | 4 020 |
| 312 241 | - in case of declaration of willingness to license (section 23 (1) of the Patent Act) | 2 010 |
| 312 242 | - surcharge for late payment (section 7 (1) sentence 2)                               | 50    |
| 312 250 | for the 5th year of supplementary protection  | 4 540 |
| 312 251 | - in case of declaration of willingness to license (section 23 (1) of the Patent Act) | 2 270 |
| 312 252 | - surcharge for late payment (section 7 (1) sentence 2)                               | 50    |
| 312 260 | for the 6th year of supplementary protection  | 4 980 |
| 312 261 | - in case of declaration of willingness to licence (section 23 (1) of the Patent Act) | 2 490 |
| 312 262 | - surcharge for late payment (section 7 (1) sentence 2)                               | 50    |
|         | 3. Other requests   |       |
| 313 000 | - Further processing (section 123a of the Patent Act)                                 | 100   |
|         | Compensation for inventors  |       |
| 313 200 | - Assessment procedure (section 23 (4) of the Patent Act)                             | 60    |
| 313 300 | - Procedure for alteration of the assessment (section 23 (5) of the Patent Act)       | 120   |
|         |   |       |

| No.     | Statement of fees   | €       |
|---------|---|---------|
|         | Right to exclusive use of the invention   |         |
| 313 400 | - Entry of grant of licence (section 30 (4) sentence 1 of the Patent Act)   | 25      |
| 313 500 | - Cancellation of the entry of such grant (section 30 (4) sentence 3 of the Patent Act)   | 25      |
| 313 600 | Opposition proceedings (section 59 (1) and (2) of the Patent Act)   | 200     |
| 313 700 | Procedure to limit or revoke the patent (section 64 of the Patent Act)  | 120     |
|         | Publication of translations or corrections of translations  |         |
| 313 800 | - of patent claims of European patent applications (Article II section 2 (1) of the Act on International Patent Conventions)                        | 60      |
| 313 810 | - of patent claims of European patent applications, designating the Member States of the  |         |
|         | Community Patent Convention (Article 4 (2) sentence 2 of the Second Act on the  |         |
|         | Community Patent [Zweites Gesetz über das Gemeinschaftspatent])   | 60      |
| 313 820 | - of European patent specifications (Article II section 3 (1), (4) of the Act on International  | 4 = 0.4 |
|         | Patent Conventions)   | 150*    |
| 313 900 | Transmission of the international application (Article III section 1 (2) of the Act on International Patent Conventions)                            | 90      |
|         | 4. Requests in connection with the extension of industrial property rights  |         |
|         |   |         |
| 314 100 | For the publication of translations or corrected translations of extended patents (section 8 (1) and (3) of the Extension Act [Erstreckungsgesetz]) | 150     |
| 314 200 | Search regarding an extended patent (section 11 of the Extension Act)   | 250     |
|         | 5. Requests in connection with supplementary protection certificates  |         |
| 315 100 | Request for rectification of duration   | 150     |
| 315 200 | Request for revocation of extension of duration   | 200     |
|         | II. Utility models  |         |
|         | 1. Registration procedure   |         |
|         | Application procedure for national applications (section 4 of the Utility Model Act [Gebrauchsmustergesetz])  |         |
| 321 000 | - for electronic filing   | 30      |
| 321 100 | - for filing on paper   | 40      |
| 321 150 | International application (Article III section 4 (2) sentence 1 of the Act on International   |         |
|         | Patent Conventions)   | 40      |
| 321 200 | Search (section 7 of the Utility Model Act)   | 250     |
|         | 2. Maintenance of a utility model   |         |
|         | Maintenance fees pursuant to (section 23 (2) of the Utility Model Act)  |         |
| 322 100 | for the 4th to the 6th year of protection   |         |
| 322 101 | - surcharge for late payment (section 7 (1) sentence 2)   | 50      |
| 322 200 | for the 7th to the 8th year of protection   | 350     |
| 322 201 | - surcharge for late payment (section 7 (1) sentence 2)   | 50      |
|         |   |         |

<sup>\*</sup> Note: No. 313 820 was abolished by Article 8b, no. 1 of the act of 7 July 2008 (Federal Law Gazette I, p. 1191) but remains applicable in relation to European patents for which the mention of grant was published in the European Patent Bulletin before 1 May 2008.

| No.     | Statement of fees  |       |
|---------|--|-------|
| 322 300 | for the 9th to the 10th year of protection   |       |
| 322 301 | - surcharge for late payment (section 7 (1) sentence 2)  | 50    |
|         | 3. Other requests  |       |
| 323 000 | Further processing (section 21 (1) of the Utility Model Act in conjunction with section 123a of the Patent Act)        | 100   |
| 323 100 | Cancellation procedure (section 16 of the Utility Model Act)   | 300   |
|         | III. Trade marks, geographical indications and indications of origin   |       |
|         | 1. Registration procedure  |       |
|         | Application procedure including the class fee for up to three classes  |       |
|         | - for a trade mark (section 32 of the Trade Mark Act [Markengesetz])   |       |
| 331 000 | - for electronic filing  | 290   |
| 331 100 | - for filing on paper  | 300   |
| 331 200 | - for a collective or certification mark (sections 97 and 106a of the Trade Mark Act)                                  | 900   |
|         | Class fee, for the fourth class and each additional class, per class   |       |
| 331 300 | - for a trade mark (section 32 of the Trade Mark Act)  | 100   |
| 331 400 | - for a collective or certification mark (sections 97 and 106a of the Trade Mark Act)                                  |       |
| 331 500 | Accelerated examination of application (section 38 of the Trade Mark Act)  | 200   |
|         | Opposition proceedings (section 42 of the Trade Mark Act)  |       |
| 331 600 | - basic amount for an opposing sign  |       |
| 331 610 | - for each additional opposing sign  |       |
| 331 700 | Procedure regarding the division of an application (section 40 of the Trade Mark Act)                                  |       |
| 331 800 | Procedure regarding transfer in part of an application (section 27 (4), section 31 of the Trade Mark Act)              | 300   |
|         | 2. Renewal of the period of protection   |       |
|         | Renewal fee including the class fee for up to three classes  |       |
| 332 100 | - for a trade mark (section 47 (2) and (3) of the Trade Mark Act)  | 750   |
| 332 101 | - surcharge for late payment (section 7 (3) sentence 2)  | 50    |
| 332 200 | - for a collective or certification mark (sections 97 and 106a of the Trade Mark Act)                                  | 1 800 |
| 332 201 | - surcharge for late payment (section 7 (3) sentence 2)  | 50    |
|         | Class fee for renewal of the period of protection for the fourth class and each additional class, for each class       |       |
| 332 300 | - for a trade mark, collective or certification mark (section 47 (2) and (3), sections 97, 106a of the Trade Mark Act) | 260   |
| 332 301 | - surcharge for late payment (section 7 (3) sentence 2)  | 50    |

| No.                | Statement of fees  | €          |
|--------------------|--|------------|
|                    | 3. Other requests  |            |
| 333 000            | Objection procedure (section 64 of the Trade Mark Act)   | 150        |
| 333 050            | Further processing (section 91a of the Trade Mark Act)   | 100        |
| 333 100            | Procedure regarding the division of a registration (section 46 of the Trade Mark Act)  | 300        |
| 333 200            | Procedure regarding the transfer in part of a registration (sections 46 and 27 (4) of the Trade Mark Act)  | 300        |
|                    | Revocation and invalidity proceedings (section 53 of the Trade Mark Act)   |            |
| 333 300            | - invalidity because of absolute grounds for refusal (section 50 of the Trade Mark Act) and earlier rights (section 51 of the Trade Mark Act)  | 400        |
| 333 350            | - if the request under section 51 of the Trade Mark Act is based on more than one earlier right, the fee for each additional asserted right under no. 333 300 shall be increased in each case by | 100        |
| 333 400<br>333 450 | - revocation (section 49 of the Trade Mark Act)<br>- pursuing the application for revocation further after opposition by the trade mark<br>proprietor  | 300        |
|                    | Right to use the trade mark  |            |
| 333 500            | - registration of a licence (section 30 (6) sentence 1 of the Trade Mark Act)  | 50         |
| 333 600            | - modification of a licence (section 30 (6) sentence 2 of the Trade Mark Act)  | 50         |
| 333 700            | - cancellation of a licence (section 30 (6) sentence 3 of the Trade Mark Act)  |            |
|                    | 4. International registrations of marks  |            |
| 334 100            | National fee for international registration under the Protocol Relating to the Madrid Agreement (section 108 of the Trade Mark Act)  | 180        |
| 334 300            | National fee for the subsequent extension of protection under Article 3ter (2) of Protocol Relating to the Madrid Agreement (section 111 (1) of the Trade Mark Act)                              | 120        |
|                    | Conversion procedure including the class fee for up to three classes (section 118 (1) of the Trade Mark Act)   |            |
| 334 500            | - for a trade mark (section 32 of the Trade Mark Act)  | 300        |
| 334 600            | - for a collective or certification mark (sections 97 and 106a of the Trade Mark Act)  | 900        |
|                    | Class fee for the conversion of the fourth class and each additional class, for each class   |            |
| 334 700            | - for a trade mark (section 32 of the Trade Mark Act)  | 100        |
| 334 800            | - for a collective or certification mark (sections 97 and 106a of the Trade Mark Act)  | 150        |
|                    | 5. European Union trade marks  |            |
|                    | Conversion procedure (section 122 (1) of the Trade Mark Act)   |            |
| 335 200            | - for a trade mark (section 32 of the Trade Mark Act)  | 300<br>900 |
| 335 300            | - for a collective or certification mark (sections 97 and 106a of the Trade Mark Act)  |            |
|                    | Class fee for conversion for each class from the fourth class onwards  |            |
| 335 400            | - for a trade mark (section 32 of the Trade Mark Act)  | 100        |
| 335 500            | - for a collective or certification mark (sections 97 and 106a of the Trade Mark Act)  | 150        |

| 6. Geographical indications and indications of origin  336 100 Registration procedure (section 130 of the Trade Mark Act)  | €       | Statement of fees  | No.     |
|--|---------|--|---------|
| 336 150  National opposition procedure (section 130 (4) of the Trade Mark Act)  336 250  Request for a change to the specification (section 132 (1) of the Trade Mark Act)  336 300  Cancellation procedure (section 132 (2) of the Trade Mark Act)  IV. Designs  1. Application procedure  A set of typefaces is regarded as a design.  Application procedure  - for one design (section 11 of the Design Act)  - for electronic filing  341 100  - for filing on paper  - for each design of a multiple application (section 12 (1) of the Design Act)  341 200  - for electronic filing  for two to ten designs  - for each additional design.  341 300  - for one design in event of deferred publication (section 21 of the Design Act)  - for one design in event of deferred publication (section 21 of the Design Act)  - for one design of a multiple application in the event of deferred publication (sections 12, 21 of the Design Act)  - for one design of a multiple application in the event of deferred publication (sections 12, 21 of the Design Act)  - for each additional design.  - for one design of a multiple application in the event of deferred publication (sections 12, 21 of the Design Act)  - for each design of a multiple application in the event of deferred publication (sections 12, 21 of the Design Act)  Extension of protection to term of protection of section 27 (2) of the Design Act in the event of deferred publication of a reproduction (section 21 (2) of the Design Act)  Extension fee  341 600  - for each design of a multiple application to be registered for two to ten designs.  - for each additional design.  2. Maintenance of the term of protection  Maintenance fees (section 28 (1) of the Design Act)  for the 6th to the 10th year of protection  - for each registered design, also in a multiple application.  - for each registered design, also in a multiple application.  |         | 6. Geographical indications and indications of origin  |         |
| 336 200 Intergovernmental opposition procedure (section 131 of the Trade Mark Act)   | 900     | Registration procedure (section 130 of the Trade Mark Act)   |         |
| 336 250 Request for a change to the specification (section 132 (1) of the Trade Mark Act)  | 120     | National opposition procedure (section 130 (4) of the Trade Mark Act)  | 336 150 |
| IV. Designs   1. Application procedure   Section 132 (2) of the Trade Mark Act)   IV. Designs   1. Application procedure   A set of typefaces is regarded as a design.   Application procedure   - for one design (section 11 of the Design Act)   - for electronic filing   - for filing on paper   - for each design of a multiple application (section 12 (1) of the Design Act)   - for filing on paper   - for each design of a multiple application (section 12 (1) of the Design Act)   - for filing on paper   for two to ten designs   for each additional design   - for filing on paper   for two to ten design in event of deferred publication (section 21 of the Design Act)   - for one design in event of deferred publication in the event of deferred publication (sections 12, 21 of the Design Act)   - for each additional design   Extension of protection to term of protection of section 27 (2) of the Design Act in the event of deferred publication of a reproduction (section 21 (2) of the Design Act in the event of deferred publication of a reproduction (section 21 (2) of the Design Act)   Extension fee   States ion fee   State | 120     | Intergovernmental opposition procedure (section 131 of the Trade Mark Act)   | 336 200 |
| IV. Designs  1. Application procedure  A set of typefaces is regarded as a design.  Application procedure  - for one design (section 11 of the Design Act)  341 000 - for electronic filing  | 200     | Request for a change to the specification (section 132 (1) of the Trade Mark Act)  | 336 250 |
| 1. Application procedure  A set of typefaces is regarded as a design.  Application procedure - for one design (section 11 of the Design Act)  341 000 - for electronic filling   | 120     | Cancellation procedure (section 132 (2) of the Trade Mark Act)   | 336 300 |
| A set of typefaces is regarded as a design.  Application procedure - for one design (section 11 of the Design Act)  341 000 - for filing on paper - for each design of a multiple application (section 12 (1) of the Design Act)  341 200 - for electronic filing for two to ten designs for each additional design  341 300 - for filing on paper for two to ten designs for each additional design  341 300 - for one design in event of deferred publication (section 21 of the Design Act)  341 400 - for one design in event of deferred publication (section 21 of the Design Act)  341 500 - for each design of a multiple application in the event of deferred publication (sections 12, 21 of the Design Act) for two to ten designs for each additional design  Extension of protection to term of protection of section 27 (2) of the Design Act in the event of deferred publication of a reproduction (section 21 (2) of the Design Act)  Extension fee  341 600 - for one design  541 600 - for one design  2. Maintenance of the term of protection Maintenance fees (section 28 (1) of the Design Act) for the 6th to the 10th year of protection 342 100 - for each registered design, also in a multiple application   |         | IV. Designs  |         |
| Application procedure - for one design (section 11 of the Design Act)  341 000 - for electronic filing   |         | 1. Application procedure   |         |
| - for one design (section 11 of the Design Act)  - for electronic filling  |         | A set of typefaces is regarded as a design.  |         |
| 341 100 - for electronic filing  |         | Application procedure  |         |
| - for filing on paper - for each design of a multiple application (section 12 (1) of the Design Act)  - for electronic filing for two to ten designs for each additional design  |         | - for one design (section 11 of the Design Act)  |         |
| - for each design of a multiple application (section 12 (1) of the Design Act)  - for electronic filing for two to ten designs   | 60      | - for electronic filing  | 341 000 |
| - for electronic filing for two to ten designs   | 70      | - for filing on paper  | 341 100 |
| for two to ten designs   |         | - for each design of a multiple application (section 12 (1) of the Design Act)   |         |
| for each additional design   |         | - for electronic filing  | 341 200 |
| - for filing on paper for two to ten designs   | 60      | S Comment of the comm |         |
| for two to ten designs   | 6       |  |         |
| for each additional design   | 70      |  |         |
| - for each design of a multiple application in the event of deferred publication (sections 12, 21 of the Design Act) for two to ten designs  | 7       | <b>G</b>   |         |
| 21 of the Design Act) for two to ten designs for each additional design  Extension of protection to term of protection of section 27 (2) of the Design Act in the event of deferred publication of a reproduction (section 21 (2) of the Design Act)  Extension fee  341 600 - for one design - for each design of a multiple application to be registered for two to ten designs for each additional design  2. Maintenance of the term of protection  Maintenance fees (section 28 (1) of the Design Act) for the 6th to the 10th year of protection  342 100 - for each registered design, also in a multiple application.  | 30      | - for one design in event of deferred publication (section 21 of the Design Act)   | 341 400 |
| Extension of protection to term of protection of section 27 (2) of the Design Act in the event of deferred publication of a reproduction (section 21 (2) of the Design Act)  Extension fee  341 600 - for one design   |         | •  | 341 500 |
| Extension of protection to term of protection of section 27 (2) of the Design Act in the event of deferred publication of a reproduction (section 21 (2) of the Design Act)  Extension fee  341 600 - for one design   | 30      | for two to ten designs   |         |
| deferred publication of a reproduction (section 21 (2) of the Design Act)  Extension fee  341 600 - for one design   | 3       | for each additional design   |         |
| 341 600 - for one design   |         |  |         |
| - for each design of a multiple application to be registered for two to ten designs for each additional design   |         | Extension fee  |         |
| for two to ten designs for each additional design   2. Maintenance of the term of protection  Maintenance fees (section 28 (1) of the Design Act)  for the 6th to the 10th year of protection  342 100 - for each registered design, also in a multiple application.   | 40      | - for one design   | 341 600 |
| for each additional design   |         |  |         |
| Maintenance fees (section 28 (1) of the Design Act)  for the 6th to the 10th year of protection  - for each registered design, also in a multiple application  | 40<br>4 |  |         |
| Maintenance fees (section 28 (1) of the Design Act)  for the 6th to the 10th year of protection  - for each registered design, also in a multiple application  |         | 2 Maintenance of the term of protection  |         |
| for the 6th to the 10th year of protection  - for each registered design, also in a multiple application   |         |  |         |
| 342 100 - for each registered design, also in a multiple application   |         |  |         |
|  |         |  |         |
|  | 90      |  |         |
| 342 101 - surcharge for late payment for each registered design, also in a multiple application (section 7 (1) sentence 2 of the Design Act)*  | 50      | - surcharge for late payment for each registered design, also in a multiple application (section 7 (1) sentence 2 of the Design Act)*  | 342 101 |

<sup>\*</sup> Note: The correct legal basis is: (section 7 (1) sentence 2 of the Patent Costs Act)

| No.                          | Statement of fees  | €   |
|------------------------------|--|-----|
|                              | for the 11th to the 15th year of protection  |     |
| 342 200                      | - for each registered design, also in a multiple application   | 120 |
| 342 201                      | - surcharge for late payment for each registered design, also in a multiple application (section 7 (1) sentence 2 of the Design Act)*  |     |
|                              | for the 16th to the 20th year of protection  |     |
| 342 300                      | - for each registered design, also in a multiple application   | 150 |
| 342 301                      | - surcharge for late payment for each registered design, also in a multiple application (section 7 (1) sentence 2 of the Design Act)*  | 50  |
|                              | for the 21st to the 25th year of protection  |     |
| 342 400                      | - for each registered design, also in a multiple application   | 180 |
| 342 401                      | - surcharge for late payment for each registered design, also in a multiple application (section 7 (1) sentence 2 of the Design Act)*  | 50  |
|                              | <ol> <li>Maintenance of registered designs for which an embodiment of the design was<br/>deposited under section 7 (6) of the Design Act in the version applicable before<br/>1 June 2004</li> </ol> |     |
| 343 100                      | Maintenance fees for the 6th to the 10th year of protection  | 330 |
| 343 101                      | - surcharge for late payment for each registered design, also in a multiple application (section 7 (1) sentence 2 of the Design Act)*  | 50  |
| 343 200                      | Maintenance fees for the 11th to the 15th year of protection   | 360 |
| 343 201                      | - surcharge for late payment for each registered design, also in a multiple application (section 7 (1) sentence 2 of the Design Act)*  |     |
| 343 300                      | Maintenance fees for the 16th to the 20th year of protection   |     |
| 343 301                      | - surcharge for late payment for each registered design, also in a multiple application (section 7 (1) sentence 2 of the Design Act)*  |     |
| 343 400                      | Maintenance fees for the 21st to the 25th year of protection   |     |
| 343 401                      |  |     |
|                              | 4. Community designs   |     |
|                              | Forwarding a Community design application (section 62 of the Design Act)   |     |
| 344 100                      | for each application   | 25  |
|                              | A multiple application is regarded as one application.   |     |
|                              | 5. Designs under the Hague Agreement   |     |
|                              | Forwarding a design application under the Hague Agreement (section 68 of the Design Act)   |     |
| 345 100 for each application |  | 25  |
|                              | 6. Other requests  |     |
| 346 000                      | Further processing (section 17 of the Design Act)  | 100 |
| 346 100                      | Invalidity proceedings (section 34a of the Design Act) for each registered design  | 300 |

<sup>\*</sup> Note: The correct legal basis is: (section 7 (1) sentence 2 of the Patent Costs Act)

| No.     | Statement of fees  | €   |
|---------|--|-----|
|         | V. Topographies  |     |
|         | 1. Application procedure   |     |
|         | Application procedure (section 3 of the Semiconductor Protection Act [Halbleiterschutzgesetz])                             |     |
| 361 000 | - electronic filing  | 290 |
| 361 100 | - filing on paper  | 300 |
|         | 2. Other requests  |     |
| 362 000 | Further processing (section 11 (1) of the Semiconductor Protection Act in conjunction with section 123a of the Patent Act) | 100 |
| 362 100 | Cancellation procedure (section 8 of the Semiconductor Protection Act)   |     |

| No.     | Statement of fees   | Fee amount/fee rate pursuant to section 2 (2) in conjunction with section 2 (1) of the Patent Costs Act* |
|---------|---|--|
|         | B. Fees of the Federal Patent Court   |  |
|         | (1) As regards fees nos. 400 000 to 401 300, each person lodging a separately.  | n appeal will be charged   |
|         | Joint proprietors or joint applicants of an IP right concerned are file a joint appeal in the cases mentioned in sentence 1.  (2) As regards fee no. 400 000 must be paid in addition to the fee the German Patent and Trade Mark Office (no. 313 600).   |  |
| 400 000 | Request for a court decision pursuant to section 61 (2) of the Patent Act .   | €300   |
|         | I. Appeal proceedings   |  |
|         | <ul> <li>Appeal proceedings</li> <li>1. under section 73 (1) of the Patent Act against the decision of the paten</li> <li>2. under section 18 (1) of the Utility Model Act against the decision of the the request for cancellation,</li> </ul>   | e utility model division on  |
|         | <ul><li>3. under section 66 of the Trade Mark Act in revocation and invalidity pro</li><li>4. under section 4 (4) sentence 3 of the Semiconductor Protection Act in</li></ul>   | •  |
| 401 100 | 18 (1) of the Utility Model Act against the decision of the topographies  | division   |
|         | 5. under section 34 (1) of the Plant Varieties Protection Act ( <i>Sortenschutz</i> by the opposition committee in the cases of section 18 (2) nos. 1, 2, 5 a Protection Act  |  |
|         | under section 23 (4) sentence 1 of the Design Act against the decision of request to establish or declare invalidity  | _  |
| 401 200 | against a decision on costs   | €50  |
| 401 300 | in other cases  | € 200  |
| .02000  | Appeals are free in matters concerning legal aid, appeals under section 11 (2) of the section 11 (2) of the Ordinance Concerning the Administrative Costs at the DPMA.  |  |
|         | II. Legal action proceedings  |  |
|         | <ol> <li>Legal action proceedings under section 81 of the Patent Act, seconjunction with section 81 of the Patent Act and section 20 of Model Act in conjunction with section 81 of the Patent Act</li> </ol>   |  |
| 402 100 | Proceedings in general  | 4.5  |
| 402 110 | Conclusion of entire proceedings by   |  |
|         | a) withdrawal of the action   |  |
|         | - before the end of the hearing   |  |
|         | <ul> <li>in case of section 83 (2) sentence 2 of the Patent Act in conjunction of Patent Act, in which a hearing does not take place before the expiry summons for the date of the pronouncement of the judgement have judgement has been handed over to the registrar's office at the Federal</li> </ul> | of the day on which the<br>been served or the written  |
|         | <ul> <li>in case of section 82 (2) of the Patent Act in conjunction with section<br/>before the expiry of the day, on which the judgement is handed over<br/>at the Federal Patent Court,</li> </ul>  |  |
|         | b) judgement of acknowledgement and renunciation,   |  |
|         | c) settlement in court,   |  |
|         | provided there was no prior judgement:  |  |
|         | The fee for 402 100 is reduced to   |  |
|         | Declarations on the settlement of the issue are not equivalent to withdrawal. The f   | ee will also be reduced if more  |

<sup>\*</sup> Note: Under section 2 of the Patent Costs Act, the fees for filing an action and applying for an injunction before the Federal Patent Court are calculated on the basis of the value in dispute. The amount of the fee is governed by section 34 of the Court Costs Act (*Gerichtskostengesetz*); the minimum fee is 121 euros. For the assessment of the value in dispute, the provisions of the Court Costs Act shall apply accordingly.

than one of the above reasons for reduction are fulfilled.

| No.     | Statement of fees  | Fee amount/fee rate pursuant to section 2 (2) in conjunction with section 2 (1) of the Patent Costs Act |
|---------|--|---|
|         | 2. Other legal action proceedings  |   |
| 402 200 | Proceedings in general   | 4.5   |
| 402 210 | Conclusion of entire proceedings by  |   |
|         | a) withdrawal of the action before the end of the hearing,   |   |
|         | b) judgement of acknowledgement and renunciation,  |   |
|         | c) settlement in court,  |   |
|         | provided there was no prior judgement:   |   |
|         | The fee for 402 200 is reduced to  | 1.5   |
|         | Declarations on the settlement of the issue are not equivalent to withdrawal. The than one of the above reasons for reduction are fulfilled.   | fee will also be reduced if more  |
|         | 3. Issue of a provisional order due to grant of compulsory licence Patent Act, section 85a in conjunction with section 85 of the F section 20 of the Utility Model Act in conjunction with section   | Patent Act and  |
| 402 300 | Proceedings dealing with the request   |   |
| 402 310 | The proceedings include a hearing: The fee for 402 300 is raised to  | 4.5   |
| 402 320 | Conclusion of entire proceedings by  |   |
|         | a) withdrawal of the request before the end of the hearing   |   |
|         | b) judgement of acknowledgement and renunciation,  |   |
|         | c) settlement in court,  |   |
|         | provided there was no prior judgement:   |   |
|         | The fee for 402 310 is reduced to  | 1.5   |
|         | Declarations on the settlement of the issue are not equivalent to withdrawal. The than one of the above reasons for reduction are fulfilled.   | fee will also be reduced if more  |
|         | III. Reprimand due to violation of the right to be heard   |   |
| 403 100 | Proceedings on the reprimand due to violation of the right to be heard professor of the Code of Civil Procedure ( <i>Zivilprozessordnung</i> ) in conjunction with sect, section 82 (1) of the Trade Mark Act  The reprimand is entirely dismissed or rejected | section 99 (1) of the Patent  |

# 3 – Extract from the Ordinance Concerning the Administrative Costs of the German Patent and Trade Mark Office

# Annex to section 2 (1) of the Ordinance Concerning the Administrative Costs at the DPMA (Costs Schedule)

| No.     | Statement of fees  | €                       |
|---------|--|-------------------------|
|         | Part A. Fees   |                         |
|         | I. Extracts from the register and registration certificates  |                         |
|         | Issue of   |                         |
| 301 100 | - certified extracts from the register   | 20                      |
| 301 110 | - uncertified extracts from the register and registration certificates pursuant to section 4 of the Ordinance on the Register of Anonymous and Pseudonymous Works ( <i>Verordnung über das Register anonymer und pseudonymer Werke</i> ) | 15                      |
|         | The data carrier flat rate is charged separately.  | 13                      |
|         | II. Authentication   |                         |
| 301 200 | Authentication of photocopies and hardcopies for every new page  | 0.50<br>- minimum fee 5 |
|         | (1) For authentication of photocopies and hardcopies of decisions and official replies issued by the<br>German Patent and Trade Mark Office no fees are charged.   |                         |
|         | (2) Expenses are charged in addition.  |                         |
|         | III. Certificates, written information   |                         |
| 301 300 | Priority document  | 20                      |
|         | Expenses are charged in addition.  |                         |
| 301 310 | Another certificate or written information   | 10                      |
|         | Expenses are charged in addition.  |                         |
| 301 320 | Decorative certificate (section 25 (2) of the DPMA Ordinance [DPMA-Verordnung])  | 15                      |
|         | (1) the following is free of charge:   |                         |
|         | <ul> <li>Granting patent certificates, utility model certificates, topography certificates, trade mark<br/>certificates and design certificates (section 25 (1) of the DPMA Ordinance) and</li> </ul>                                    |                         |
|         | - affixing documents to the decorative certificate.  |                         |
|         | (2) Expenses are charged in addition.  |                         |
| 301 330 | Certificate of origin  | 15                      |
|         | Expenses are charged in addition.  |                         |
|         | IV. File inspection, issue of photocopies and hardcopies   |                         |
| 301 400 | Procedures concerning requests for the inspection of files   | 90                      |
|         | Inspection of files which may be inspected by any person, files of the applicant's own application or the proprietor's own industrial property right, is free of charge.   |                         |

| No.     | Statement of fees  | €  |
|---------|--|----|
| 301 410 | Procedures concerning requests for the issue of photocopies and hardcopies of files(1) The following is free of charge:  | 90 |
|         | <ul> <li>Issue of photocopies and hardcopies of files which may be inspected by any person, files of the<br/>applicant's own application or the proprietor's own industrial property right,</li> </ul> |    |
|         | <ul> <li>or if the request has been submitted subsequent to a procedure concerning the inspection of<br/>files for which the fee was paid according to no. 301 400,</li> </ul>                         |    |
|         | (2) Expenses are charged in addition.  |    |
|         | V. Refund  |    |
| 301 500 | Refund of amounts paid without legal ground  | 10 |

| No.     | Expenses  | Amount/€        |
|---------|---|-----------------|
|         | Part B. Expenses  |                 |
|         | I. Flat rate fees for documents and data carriers   |                 |
| 302 100 | Flat rate fee for the production and supply of documents  1. Official copies, photocopies and hardcopies which are made on request, transmitted by telefax or have been made, because the parties concerned did not attach the necessary number of copies, photocopies or hardcopies (flat rate fee for documents): |                 |
|         | for the first 50 pages  | 0.50            |
|         | for each additional page  | 0.15            |
|         | 2. Flat rate fee for the supply of electronic data on a data carrier (flat rate fee for data carriers):   |                 |
|         | for each data carrier   | 5               |
|         | (1) Each of the parties to the procedure and their authorised representatives receive   |                 |
|         | <ol> <li>one complete execution or photocopy or complete hardcopy of the decision and the official<br/>communications by the German Patent and Trade Mark Office,</li> </ol>  |                 |
|         | 2. one photocopy or hardcopy of the minutes of each meeting without having to pay the flat rate fee for documents.  |                 |
|         | (2) The flat rate fee for data carriers will not be charged if the electronic data are only electronically transferable.  |                 |
|         | (3) No flat rate fees for documents or data carriers will be charged for provision of IP data via the DPMAdatenabgabe service and DEPATISconnect service.   |                 |
|         | (4) Section 191a (1) sentence 5 of the Courts Constitution Act ( <i>Gerichtsverfassungsgesetz</i> ) remains unaffected.   |                 |
|         | II. Expenses for photos, graphic representations  |                 |
| 302 200 | Production of photos or copies of photos or coloured copies   |                 |
|         | for the first print or the first page   | 2               |
|         | for each print or page in excess of one   | 0.50            |
| 302 210 | Production of photos or graphic representations by a third party by order of the  |                 |
|         | German Patent and Trade Mark Office   | the full amount |
|         | III. Publication, reprinting costs  |                 |
| 302 340 | Expenses for the publication in copyright procedures  | the full amount |
| 302 360 | Costs for the reprint or the amendment of a patent application ( <i>Offenlegungsschrift</i> ) or patent specification if they are caused by the applicant   | 80              |
|         | IV. Other expenses  |                 |
|         | Further expenses are  |                 |
| 302 400 | - Expenses for delivery with official certificate of delivery or registered mail with advice of delivery  | the full amount |
| 302 410 | - Expenses for telegrams  | the full amount |

| No.     | Expenses   | Amount   |
|---------|--|--|
| 302 420 | <ul> <li>Amounts to be paid under the Judicial Remuneration and Compensation Act (<i>Justizver-gütungs- und -entschädigungsgesetz</i>), subject to the following provisions:</li> <li>1. Expenses to fulfil the rights of the blind or visually impaired (section 191a (1) of the Courts Constitution Act) and of people with hearing or speech impairments (section 186 (1) of the Courts Constitution Act) shall be exempt from this provision</li></ul> | the full amount  |
|         | Act, an expert does not receive any compensation, such amount shall be raised which, without this provision, would have to be paid under the Judicial Remuneration and Compensation Act  | the full amount  |
|         | where expenses were caused by several proceedings, expenses shall be adequately distributed to these various proceedings   | the full amount  |
| 302 430 | <ul> <li>Remunerations (reimbursement of travel expenses, and other expenses) granted by virtue of legal provisions in transactions outside the German Patent and Trade Mark Office to staff members and costs for providing premises; where expenses were caused by several proceedings, expenses shall be adequately distributed to these various proceedings</li> </ul>   | the full amount  |
| 302 440 | - Travel expenses for persons  - Expenses for allowances granted to destitute persons for travelling to the place of, a court hearing, questioning or investigation and back   | the full amount  not exceeding the amounts payable to witnesses under the Judicial Remuneration and Compensation Act |
| 302 450 | <ul> <li>Expenses for the transportation of animals and objects, with the exception of postage rates<br/>hereby incurred, the keeping of objects as well as the keeping and feeding of animals</li> </ul>  | the full amount  |
| 302 460 | <ul> <li>Amounts being due to other national authorities, public institutions or staff by way of<br/>compensation for expenses of the kind specified in nos. 302 420 to 302 450; the amounts<br/>will be charged, even if, for reasons of reciprocity, administrative rationalisation or<br/>comparable reasons no payments have to be made</li> </ul>   | limited by the<br>maximum rates for<br>the expenses of<br>numbers 302 420  |
| 302 470 | - Amounts to which foreign authorities, institutions or persons abroad are entitled, as well as costs of judicial assistance with foreign countries; the amounts will be charged, even if, for reasons of reciprocity, administrative rationalisation or comparable reasons no payments have to be made  | the full amount  |

4 – Extract from the Ordinance of 9 December 1997 on the Issuing of the Certificate under Article 3 of the Hague Convention of 5 October 1961 Abolishing the Requirement of Legalisation for Foreign Public Documents (Federal Law Gazette I, p. 2872), as last amended by Articles 3 and 4 of the Act Promoting the Freedom of Movement of EU Citizens and Revising Various Aspects of International Adoption Law (Gesetz zur Förderung der Freizügigkeit von EU-Bürgerinnen und -Bürgern sowie zur Neuregelung verschiedener Aspekte des Internationalen Adoptionsrechts) of 31 January 2019 (Federal Law Gazette I, p. 54)

### Section 2

The fee for issuing the certificate and for the examination under Article 7 (2) of the Convention shall amount to 25 euros each.\*

<sup>\*</sup> Note: The fee number for issuing the certificate and for the examination under Article 7 (2) of the Convention is 301 900.